

UNITED STATES DISTRICT COURT
CENTRAL DISTRICT OF CALIFORNIA

NATIONAL CREDIT UNION ADMINISTRATION
BOARD,

CASE NUMBER

2:11-cv-05887 GHW (JEMx)

Plaintiff(s)

RBS SECURITIES INC., et al. v.

APPLICATION OF NON-RESIDENT ATTORNEY
TO APPEAR IN A SPECIFIC CASE
PRO HAC VICE

Defendant(s).

INSTRUCTIONS FOR APPLICANTS

- (1) Complete Section I of this Application, sign the certification in Section II, and have your designated Local Counsel sign in Section III; **note that electronic signatures are not accepted.** Space to supplement your responses is provided in Section IV. Attach a Certificate of Good Standing (issued within the last 30 days) from every state bar to which you are admitted. Scan the completed and signed Application, with attachment(s), to a single Portable Document Format (PDF) file.
- (2) Have your Local Counsel e-file your Application, using the Court's CM/ECF System, and submit a Proposed Order (using Form G-64 ORDER, available from the Court's website).
- (3) Submit payment in the amount of \$325 for each case in which you file an Application. Payment may be submitted at the time of e-filing through Pay.gov, or by delivering payment to the Clerk's Office (Attn: Fiscal) with a copy of the e-filed Application. If your payment is not received within 5 days of the filing of your Application, your Application may be rejected. You will not be allowed to participate as an attorney of record in this case until your payment is received.

SECTION I - INFORMATION

Shen, Andrew C.

Applicant's Name (Last Name, First Name & Middle Initial)

Kellogg, Huber, Hansen, Todd, Evans & Figel, P.L.L.C.

Firm Name

1615 M ST NW

202-326-7900

202-326-7999

Suite 400

Telephone Number

Fax Number

Street Address

Washington, D.C. 20036

ashen@khhte.com

City, State, Zip Code

E-Mail Address

I have been retained to represent the following parties:

National Credit Union Administration Board

☒ Plaintiff

☐ Defendant

☐ Other: _____

☐ Plaintiff

☐ Defendant

☐ Other: _____

Name(s) of Party(ies) Represented

List all courts to which you have been admitted and the current status of your membership:

<i>Name of Court</i>	<i>Date of Admission</i>	<i>Active Member in Good Standing? (if not, please explain)</i>
New York	10/31/2005	Active, Good Standing
District of Columbia	9/15/2006	Active, Good Standing
Southern District of New York	9/24/2013	Active, Good Standing

List all cases in which you have applied to this Court for *pro hac vice* status in the previous three years:

<u>Case Number</u>	<u>Title of Action</u>	<u>Date of Application</u>	<u>Granted / Denied?</u>

If any *pro hac vice* applications submitted within the past three (3) years have been denied by the Court, please explain:

Have you previously registered as a CM/ECF user in the Central District of California ?

☐ Yes ☒ No

If yes, was your CM/ECF User account associated with the e-mail address provided above?

☐ Yes ☐ No:

Previous E-Mail Used (if applicable)

You must be registered for the Court's Case Management/Electronic Case Filing ("CM/ECF") System to be admitted to practice *pro hac vice* in this Court. Submission of this Application will constitute your registration (or re-registration) as a CM/ECF User. If the Court signs an Order granting your Application, you will either be issued a new CM/ECF login and password, or the existing account you identified above will be associated with your case. Pursuant to Local Rule 5-3.2.2, registering as a CM/ECF User is deemed consent, for purposes of Fed. R. Civ. P. 5(b)(2)(E), to electronic service of documents through the CM/ECF System. You have the right to withhold or revoke your consent to electronic service at any time; simply complete and return a Central District Electronic Service Exemption Form (Form G-05, available from the Court's website). If the Court receives an Electronic Service Exemption Form from you, you will no longer receive notice by e-mail when Court orders or other documents are filed in cases in which you are counsel of record; instead, copies of such documents will be sent to you through the mail.

SECTION II - CERTIFICATION

I declare under penalty of perjury that:

- (1) All of the above information is true and correct.
- (2) I am not a resident of the State of California. I am not regularly employed in, or engaged in substantial business, professional, or other activities in the State of California.
- (3) I am not currently suspended from and have never been disbarred from practice in any court.
- (4) I am familiar with the Court's Local Civil and Criminal Rules, the Federal Rules of Civil and Criminal Procedure, and the Federal Rules of Evidence.
- (5) I designate the attorney listed in Section III below, who is a member in good standing of the Bar of this Court and maintains an office in the Central District of California for the practice of law, as local counsel pursuant to Local Rule 83-2.1.3.4.

Dated December 7, 2015

Andrew C. Shen

Applicant's Name (please type or print)

Applicant's Signature

SECTION III - DESIGNATION OF LOCAL COUNSEL

Seltzer, Marc M.

Designee's Name (Last Name, First Name & Middle Initial)

Susman Godfrey LLP

Firm Name

310-789-3102

310-789-3150

1901 Avenue of the Stars

Telephone Number

Fax Number

Suite 950

mseltzer@susmangodfrey.com

Street Address

E-Mail Address

Los Angeles, CA 90067-6029

54534

City, State, Zip Code

Designee's California State Bar Number

I hereby consent to the foregoing designation as local counsel.

Dated December 7, 2015

Marc M. Seltzer

Designee's Name (please type or print)



Designee's Signature

SECTION IV - SUPPLEMENT ANSWERS HERE (ATTACH ADDITIONAL PAGES IF NECESSARY)

**Appellate Division of the Supreme Court
of the State of New York
First Judicial Department**

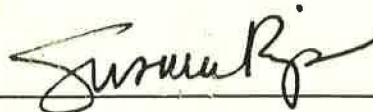
I, Susanna Rojas, Clerk of the Appellate Division of the Supreme Court of the State of New York, First Judicial Department, certify that

ANDREW CHUN-YANG SHEN

was duly licensed and admitted to practice as an Attorney and Counsellor at Law in all the courts of the State of New York on **October 31, 2005**, has duly taken and subscribed the oath of office prescribed by law, has been enrolled in the Roll of Attorneys and Counsellors at Law on file in my office, has duly registered with the administrative office of the courts, and according to the records of this court is in good standing as an attorney and counsellor at law.

In Witness Whereof, I have hereunto set my
hand and affixed the seal of this court on

December 4, 2015



Clerk of the Court



District of Columbia Court of Appeals
Committee on Admissions
430 F Street, N.W. — Room 123
Washington, D. C. 20001
202 / 879-2710

I, JULIO A. CASTILLO, Clerk of the District of Columbia Court of Appeals, do hereby certify that

ANDREW C. SHEN

was on SEPTEMBER 15, 2006 duly qualified and admitted as an attorney and counselor entitled to practice before this Court and is, on the date indicated below, an active member in good standing of this Bar.

In Testimony Whereof, I have hereunto subscribed my name and affixed the seal of this Court at the City of Washington, D.C., on December 4, 2015.

JULIO A. CASTILLO
Clerk of the Court

By: *Monette Lane*
Deputy Clerk